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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Cox *et al.*

Appl. No. 09/942,919

Filed: August 31, 2001

For: **Endoluminal Prostheses and  
Therapies for Highly Variable  
Body Lumens**



Confirmation No. 9761

Art Unit: 3738

Examiner: To Be Assigned

Atty. Docket: 1737.1460008/LEA/DTJ



**Supplemental Information Disclosure Statement**

**RECEIVED**

**DEC 12 2001**

**OFFICE OF PETITIONS**

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this First Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Information Disclosure Statement filed on November 19, 2001 in connection with the above-captioned application. A copy of each document is also provided.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation

that a search has been made, or that information more material to the examination of the present patent application does not exist.

This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application is respectfully requested. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Dustin T. Johnson  
Agent for Applicant  
Registration No. 47,684

Date: December 6, 2001

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		O I P E S C		ATTY. DOCKET NO. P513 DIV1 (1737.1460008/LEA/DTJ)	APPLICATION NO. 09/942,919
FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT		DEC 06 2001 U.S. PATENT & TRADEMARK OFFICE		APPLICANT Cox et al.	
				FILING DATE August 31, 2001	GROUP 3738

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA7	5,354,308	10/1994	Simon et al.			
AB7	5,360,443	11/1994	Barone et al.			
AC7	5,383,928	01/1995	Scott et al.			
AD7	5,425,767	06/1995	Steininger et al.			
AE7	5,507,771	04/1996	Gianturco			
AF7	5,584,885	12/1996	Seckel			
AG7	5,591,229	01/1997	Parodi			
AH7	5,618,301	04/1997	Hauenstein et al.			
AI7	5,628,783	05/1997	Quiachon et al.			
AJ7	5,639,278	06/1997	Dereume et al.			
AK7	5,653,747	08/1997	Dereume			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL7	0 686 379 B1	12/1995	Europe			Yes No
AM7	WO 95/32688	12/1995	PCT			Abstract X Yes No
AN7	WO 97/07751	03/1997	PCT			Yes No
AO7						Yes No
AP7						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Z	White et al., A Color Atlas of Endovascular Surgery, Ch. 7 "Intravascular Stents" pp. 83-87; J.B. Lippencott Company; Philadelphia (1990).
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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



DEC 06 2001

Page 2 of 2

FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
P513 DIV1 (1737.1460008/LEA/DTJ)APPLICATION NO.  
09/942,919APPLICANT  
Cox et al.FILING DATE  
August 31, 2001GROUP  
3738

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA8	5,693,088	12/1997	Lazarus			
	AB8	5,716,410	02/1998	Wang et al.			
	AC8	5,723,004	03/1998	Dereume et al.			
	AD8	5,735,290	04/1998	Sterman et al.			
	AE8	5,769,885	06/1998	Quiachon et al.			
	AF8						
	AG8						
	AH8						
	AI8						
	AJ8						
	AK8						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL8						Yes No
	AM8						Yes No
	AN8						Yes No
	AO8						Yes No
	AP8						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER

DATE CONSIDERED

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STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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\*LIMITED TO MATTERS  
AND PROCEEDINGS BEFORE  
FEDERAL COURTS & AGENCIES  
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\*\*\*SENIOR COUNSEL

December 6, 2001

WRITER'S DIRECT NUMBER:  
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OFFICE OF PETITIONS Art Unit 3738

Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Utility Patent Application  
Appl. No. 09/942,919; Filed: August 31, 2001  
For: **Endoluminal Prostheses and Therapies for Highly Variable Body Lumens**  
Inventors: Cox *et al.*  
Our Ref: P513 DIV1 (1737.1460008/LEA/DTJ)

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Supplemental Information Disclosure Statement (*in duplicate*);
2. Form PTO-1449 citing twenty (20) references (AA7-AN7, AR7, and AA8-AE8);
3. A copy of each of the twenty (20) cited references (AA7-AN7, AR7, and AA8-AE8) and;
4. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are

**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

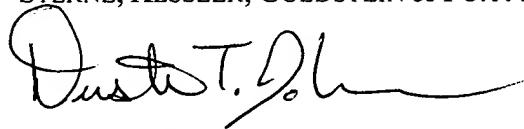
Commissioner for Patents  
December 6, 2001  
Page 2

hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Dustin T. Johnson  
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Enclosures

LEA/DTJ/drB

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